

AO 88A (Rev. 02/14) Subpoena to Testify at a Deposition in a Civil Action

# UNITED STATES DISTRICT COURT

for the

	Northern District of T	exas	CTS	
E:	scort Inc.			
	Plaintiff )			
	v. )	Civil Action No.	3:18-CV-00161-N	5.5 6.5
Uniden Am	nerica Corporation			3 7
	Defendanı )			***************************************
S	UBPOENA TO TESTIFY AT A DEI	POSITION IN A CI	VIL ACTION	
To:	Thomas W. Humphrey c/o \ 2700 Carew Tower 441 Vine	Street, Cincinnati, C	)H 45202	ေ
****	(Name of person to whom	this subpoena is directed	d)	
or managing agents, or those set forth in an atta	n this civil action. If you are an organize designate other persons who consent to achment:	testify on your beha	ghate one of more office life about the following is	natters, or
Place: Regus Confere	ence Space	Date and Time:		
201E Fifth Stre Cincinnati, OH	eet, Suite 1900	Date and Time.	10/30/2019 9:00 am	
The deposition	will be recorded by this method:			
☐ Production: Yeelectronically senterial:	ou, or your representatives, must also b tored information, or objects, and must	ring with you to the opermit inspection, co	deposition the followin opying, testing, or samp	g documents, oling of the
Rule 45(d), relating to y	provisions of Fed. R. Civ. P. 45 are atta your protection as a person subject to a and the potential consequences of not	subpoena; and Rule	elating to the place of c 45(e) and (g), relating t	ompliance; to your duty to
Date: 08/15/2019				
	CLERK OF COURT			
		OR	/s/ David B. Conr	ad
	Signature of Clerk or Deputy Clerk		Attorney's signatur	e
	nail address, and telephone number of th	ne attorney representi	ing (name of party)	
Uniden America Corpo	pration	, who issu	ues or requests this sub	poena, are:
Fish & Richardson P.C	., 1717 Main Street, Suite 5000, Dallas	TX 75201, 214-747	-5070, conrad@fr.com	

Notice to the person who issues or requests this subpoena

If this subpoena commands the production of documents, electronically stored information, or tangible things before trial, a notice and a copy of the subpoena must be served on each party in this case before it is served on the person to whom it is directed. Fed. R. Civ. P. 45(a)(4).

AO 88A (Rev. 02/14) Subpoena to Testify at a Deposition in a Civil Action (Page 2)

Civil Action No. 3:18-CV-00161-N

#### **PROOF OF SERVICE**

(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)

on <i>(date)</i>		ooena for (name of individual and title, if any)	Thomas W. Humphrey	
	I served the subj	poena by delivering a copy to the named	l individual as follows: Pat Blackwe	II, Authorized
	Representative for	Wood Herron and Evans, LLP accepting	g on behalf of Thomas W. Humphrey	, 2700 Carew
	Tower 441, Vine St	t., Cincinnati, OH 45202 at 12:03 PM	on (date) 08/19/2019; or	
	☐ I returned the su	bpoena unexecuted because:		
		na was issued on behalf of the United Staness the fees for one day's attendance, a		
	\$ 40.00	•		
My fees	s are \$	for travel and \$	for services, for a total of \$	0.00
	I declare under pen	alty of perjury that this information is tr	ue.	
Date:	08/20/2019	Bin	tian Landel	
			Server's signature	
			Brittain Daudelin, Process Server	
			Printed name and title	
			5470 L B J Freeway	
			Dallas, TX 75240  Server's address	
			server s address	

Additional information regarding attempted service, etc.:

AO 88A (Rev. 02/14) Subpoena to Testify at a Deposition in a Civil Action (Page 3)

#### Federal Rule of Civil Procedure 45 (c), (d), (e), and (g) (Effective 12/1/13)

#### (c) Place of Compliance.

- (1) For a Trial, Hearing, or Deposition. A subpoena may command a person to attend a trial, hearing, or deposition only as follows:
- (A) within 100 miles of where the person resides, is employed, or regularly transacts business in person; or
- (B) within the state where the person resides, is employed, or regularly transacts business in person, if the person
  - (i) is a party or a party's officer; or
- (ii) is commanded to attend a trial and would not incur substantial expense.

#### (2) For Other Discovery. A subpoena may command

- (A) production of documents, electronically stored information, or tangible things at a place within 100 miles of where the person resides, is employed, or regularly transacts business in person; and
  - (B) inspection of premises at the premises to be inspected.

#### (d) Protecting a Person Subject to a Subpoena; Enforcement.

(1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The court for the district where compliance is required must enforce this duty and impose an appropriate sanction—which may include lost earnings and reasonable attorney's fees—on a party or attorney who fails to comply.

#### (2) Command to Produce Materials or Permit Inspection.

- (A) Appearance Not Required. A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.
- (B) Objections. A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing, or sampling any or all of the materials or to inspecting the premises—or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:
- (i) At any time, on notice to the commanded person, the serving party may move the court for the district where compliance is required for an order compelling production or inspection.
- (ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.

#### (3) Quashing or Modifying a Subpoena.

- (A) When Required. On timely motion, the court for the district where compliance is required must quash or modify a subpoena that:
  - (i) fails to allow a reasonable time to comply;
- (ii) requires a person to comply beyond the geographical limits specified in Rule 45(c):
- (iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or
  - (iv) subjects a person to undue burden.
- **(B)** When Permitted. To protect a person subject to or affected by a subpoena, the court for the district where compliance is required may, on motion, quash or modify the subpoena if it requires:

- (i) disclosing a trade secret or other confidential research, development, or commercial information; or
- (ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party.
- (C) Specifying Conditions as an Alternative. In the circumstances described in Rule 45(d)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party:
- (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and
  - (ii) ensures that the subpoenaed person will be reasonably compensated.

#### (e) Duties in Responding to a Subpoena.

- (1) Producing Documents or Electronically Stored Information. These procedures apply to producing documents or electronically stored information:
- (A) Documents. A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.
- (B) Form for Producing Electronically Stored Information Not Specified. If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.
- (C) Electronically Stored Information Produced in Only One Form. The person responding need not produce the same electronically stored information in more than one form.
- (D) Inaccessible Electronically Stored Information. The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

#### (2) Claiming Privilege or Protection.

- (A) Information Withheld. A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:
  - (i) expressly make the claim; and
- (ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.
- (B) Information Produced. If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information under seal to the court for the district where compliance is required for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

#### (g) Contempt.

The court for the district where compliance is required—and also, after a motion is transferred, the issuing court—may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena or an order related to it.

For access to subpoena materials, see Fed. R. Civ. P. 45(a) Committee Note (2013)

AO 88B (Rev. 02/14) Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises in a Civil Action

# UNITED STATES DISTRICT COURT

for the

	Northern District of T	exas			
Escort	Inc.				
Plaintiff )					
V.	·	Civil Action No.	3:18-CV-00161-N		
Uniden America	Corporation )				
	)				
Defena	lant )				
	NA TO PRODUCE DOCUMENT O PERMIT INSPECTION OF P				
To:	To: Thomas W. Humphrey c/o Wood Herron and Evans LLP 2700 Carew Tower 441 Vine Street, Cincinnati, OH 45202				
	(Name of person to whom	this subpoena is directed	1)		
documents, electronically stomaterial: See Attachment A	<b>RE COMMANDED</b> to produce a ored information, or objects, and to	t the time, date, and permit inspection, c	place set forth below the following opying, testing, or sampling of the		
Place: SALIX-Cincinnati - A	ttn: Felicia Thomas	Date and Time:			
600 Vine Street, Suit			09/10/2019 9:00 am		
Cincinnati, Ohio 45202			09/10/2019 9:00 aiii		
other property possessed or c	es: YOU ARE COMMANDED to controlled by you at the time, date, y, photograph, test, or sample the p	and location set fortl	n below, so that the requesting party		
		Date and Time.			
	7. 111.141.141.141.141.141.141.141.141.14				
Rule 45(d), relating to your p	nions of Fed. R. Civ. P. 45 are attact protection as a person subject to a s the potential consequences of not	ubpoena; and Rule 4	ating to the place of compliance; 5(e) and (g), relating to your duty to		
CI	LERK OF COURT				
	Did of Cook!	OR			
			/s/ David B. Conrad		
—	Signature of Clerk or Deputy Clerk		Attorney's signature		
The name, address, e-mail ad	dress, and telephone number of the	attorney representing	ΩΩ (name of party)		
Uniden America Corporation	,		es or requests this subpoena, are:		
Fish & Richardson P.C., 1717	7 Main Street, Suite 5000, Dallas, 7		•		

Notice to the person who issues or requests this subpoena

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# Case 3:18-cv-00161-N Document 69 Filed 08/26/19 Page 5 of 36 PageID 1387

AO 88B (Rev. 02/14) Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises in a Civil Action (Page 2)

Civil Action No. 3:18-CV-00161-N

#### PROOF OF SERVICE

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I received this su	bpoena for (name of individual and title, if a	ny)	
n (date)	•		
☐ I served the si	abpoena by delivering a copy to the nat	med person as follows:	
		on (date) ;	or
☐ I returned the	subpoena unexecuted because:		
tendered to the w		States, or one of its officers or agents, I e, and the mileage allowed by law, in the	
y fees are \$	for travel and \$	for services, for a total of \$	0.00
I declare under p	enalty of perjury that this information i	s true.	
te:			name warma an ar a - a.
		Server's signature	
		Printed name and title	
	- AND STATE OF THE	Server's address	

Additional information regarding attempted service, etc.:

# Case 3:18-cv-00161-N Document 69 Filed 08/26/19 Page 6 of 36 PageID 1388

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#### (g) Contempt.

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For access to subpoena materials, see Fed. R. Civ. P. 45(a) Committee Note (2013)

# ATTACHMENT A TO DOCUMENT SUBPOENA

#### **DEFINITIONS**

- 1. "You" and "Your" refers to Thomas Humphrey.
- 2. "Plaintiff" and/or "Escort" means Escort Inc. and its predecessors-in-interest, including its past and present parents, subsidiaries, affiliates, unincorporated divisions, officers, attorneys or agents, representatives, employees, contractors, consultants, outsourcers, and all third parties or persons acting or purporting to act on its behalf.
- 3. "Defendant" and/or "Uniden" means Uniden America Corporation and its predecessors-in-interest, including its past and present parents, subsidiaries, affiliates, unincorporated divisions, officers, attorneys or agents, representatives, employees, contractors, consultants, outsourcers, and all third parties or persons acting or purporting to act on its behalf.
  - 4. This "Litigation" or "Lawsuit" refers to the above-captioned suit.
  - 5. The "Fleming Litigation" means Case No. 1:09-cv-00105 (D. Idaho).
  - 6. The "K40 Litigation" means Case No. 1:12-cy-937 (S.D. Ohio).
  - 7. The "K40 IPRs" means IPR2013-00203 and IPR2013-00240.
- 8. "Asserted Patents" means United States Patent Numbers RE39,038, (the "'038 Patent"), RE40,653 (the "'653 Patent"), and 7,576,679 (the "'679 Patent").
- 9. The "Fleming Patents" means United States Patent Numbers 6,204,798 (the "'798 Patent"), RE39,038, (the "'038 Patent") and RE40,653 (the "'653 Patent").
- 10. The "Orr Patents" means U.S. Patent Nos. 7,576,679 (the "'679 Patent"),7,999,721 (the "'721 Patent") and 6,670,905 and U.S. Patent Appl. No. 10/396,881.

#### 11. "Related Patent" means:

a. any patent, provisional, or patent application in the genealogical chain through which an Asserted Patent, a Fleming Patent, and/or and Orr Patent claims priority,

- b. any patent or patent application which claims priority through a genealogical chain that includes an Asserted Patent, a Fleming Patent, and/or an Orr Patent; and
- c. any foreign counterpart of an Asserted Patent, a Fleming Patent, an Orr Patent and/or a Related Patent.
- 12. "Product" means a service such as a software service or a machine, apparatus, device, instrument, mechanism, appliance, assembly, algorithm, software, system, program, or assemblage of components/parts (either individually or collectively) which are designed to function together electrically, mechanically, electronically (including the method of use or operation of any such product) or otherwise, to achieve a particular function or purpose.
- 13. "Software" means instructions, statements, procedures, modules, objects, libraries, subroutines, or programs written in a programming language, design description language or assembly language, including source code, firmware, microcode, executables, and applications. For the avoidance of doubt, Software includes without limitation, source files, make files, intermediate output files, header files, resource files, library files, module definition files, map files, object files, linker files, browse information files, debug files, comments, and/or version timelines.
- 14. "Accused Product" or "Accused Products" means any of Defendant's Products

  Plaintiff contends infringes any claim of the Asserted Patents.
- 15. "Escort Products" means any GPS-enabled radar detector Product made or sold by Escort, Beltronics USA Inc., Cobra Electronics Corporation, and/or Cedar Electronics Holdings Corporation.
- 16. "Document(s)" is used in the broadest sense to include everything contemplated by Rule 34(a) of the Federal Rules of Civil Procedure and by Rule 1001 of the Federal Rules of Evidence. If a draft Document has been prepared in several copies that are not identical, or if the

original identical copies are no longer identical due to subsequent notation, each non-identical Document is a separate Document.

- 17. "Thing(s)" is used in the broadest sense to include everything contemplated by Rule 34(a) of the Federal Rules of Civil Procedure.
- 18. "Person(s)" or "Entity" and their plural forms mean and include natural persons, corporations, partnerships, limited partnerships, associations, organizations, joint ventures, groups, government bodies or agencies, legal entities, or any other entities.
- 19. The term "Communication" means any oral or written transmission of information between Persons, including but not limited to, meetings, discussions, conversations, telephone calls, memoranda, letters, electronic messages, text messages, telecopies, telexes, conferences, or seminars.
- 20. The terms "relate," "relates to," "related to," or "relating to" are used in their broadest sense to include all documents and things having any logical or factual connection, directly or indirectly, with the matter discussed and shall mean any one or more of the following: evidencing, referring to, discussing, describing, concerning, mentioning, reflecting, depicting, summarizing, involving, regarding, embodying, containing, pertaining to, arising out of, or is in connection with.
- 21. The words "and" and "or" shall be construed conjunctively or disjunctively, whichever makes the request most inclusive.
- 22. The word "all" means "any and all" and the word "including" means "including without limitation," as necessary, to bring within the scope of these requests Documents or Things that might otherwise be beyond their scope.

- 23. When reference is made in these Requests to any term defined herein, the singular shall include the plural, and the plural shall include the singular.
- 24. "Prior Art" means anything that constitutes prior art under any subsection of 35 U.S.C. § 102 or § 103, including without limitation any publication, patent, use, sale, offer for sale, prior invention, knowledge, or other activity.
  - 25. "Marking" means all actions undertaken to comply with 35 U.S.C. § 287.

#### **INSTRUCTIONS**

- 1. These Requests shall apply to all Documents and Things in Your actual or constructive possession, custody, or control at the present time, or coming into Your actual or constructive possession, custody, or control during the Litigation, including all such responsive Documents and Things located in the company files of any and all past or present directors, officers, principals, managers, employees, attorneys, agents, representatives, contractors, consultants, or accountants of Plaintiff. If You know of the existence, past or present, of any Documents and Things requested herein, but are unable to produce such Documents and Things because they are not presently in Your possession, custody, or control, You shall so state and shall identify such Documents or Things, and the Person who has possession, custody, or control of such Documents or Things.
- 2. The singular form of a word should be interpreted in the plural as well. Any pronoun shall be construed to refer to the masculine, feminine, or neuter gender in each case as most appropriate.
- 3. The words "and" and "or" shall be construed conjunctively or disjunctively, whichever makes the request most inclusive. The terms "any" and "all" shall be given their most inclusive meaning, and should be construed to mean "any and all."
- 4. All Documents that respond, in whole or in part, to any Request are to be produced in their entirety, including all attachments or other matters affixed thereto.
- 5. If no Documents are responsive to a particular Request, You are to state that no responsive Documents exist.

- 6. All Documents shall be produced either in the order and in the manner that they are kept in the usual course of business, or shall be organized and labeled to correspond with the categories of these Requests.
- 7. With respect to any Document otherwise responsive to these Requests that You withhold or refuse to divulge based on a claim of privilege or work product, (a) state the nature of the claim of privilege and the holder of the privilege; and (b) furnish a description of each Document withheld pursuant to the claim of privilege or work product (i.e., its title and general subject matter, date, author and Person(s) for whom it was prepared, to whom it was sent or who otherwise received or saw the Document or were aware of the substance of its contents). If the claim of privilege or work product applies to only a portion of the Document, produce all portions of the Document to which the claim does not apply.

#### **DOCUMENT REQUESTS**

- 1. All Documents and Things concerning or related to the conception or reduction to practice of the alleged inventions claimed in the Orr Patents and/or any Related Patent, including but not limited to all attempts prior to conception to develop the alleged inventions disclosed or claimed in the Orr Patents and/or any Related Patent.
- 2. All Documents and Things related to the preparation, filing, prosecution, and/or ongoing maintenance of the Orr Patents, any Related Patent, or any other patents or patent applications submitted or considered on behalf of Escort and/or Steven K. Orr or naming Steven K. Orr as an inventor.
- 3. All Documents and Things related to any communications with Escort or any named inventor of the Orr Patents concerning or related to the subject matter of the Fleming Patents, the Orr Patents and/or any Related Patent.
- 4. All Documents and Things related to the ownership or each transfer, conveyance, purchase, sale, license, agreement to license, assignment, or agreement to assign that affects any rights of the Orr Patents and/or any Related Patent, or any other patents or patent applications submitted or considered on behalf of Escort or naming Steven K. Orr as an inventor.
- 5. All Documents and Things concerning or related to the diligence exercised from conception to reduction to practice of the alleged inventions claimed in the Orr Patents and/or any Related Patent.
- 6. All Documents and Things concerning or related to all Prior Art known to You concerning the subject matter of the Orr Patents and/or any Related Patent or application including, but not limited to, Prior Art cited or referred to during the preparation or prosecution of such patents, communications between or among any patent prosecution attorney or agent or

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the United States Patent and Trademark Office referring to the patent application or prosecution of the Orr Patents and/or any Related Patent or application.

- 7. All Documents and Things concerning or related to all Prior Art known to You concerning the subject matter of the Fleming Patents and/or any Related Patent or application.
- 8. All Documents and Things concerning or related to any implementation or attempted implementation of the inventions claimed in the Orr Patents and/or any Related Patent, and any communications regarding the same.
- 9. All Documents and Things concerning or related to any alleged priority date for the Orr Patents and/or any Related Patent, including without limitation, any communications, notes, or records.
- 10. All Documents and Things concerning or related to any alleged priority date for the Fleming Patents and/or any Related Patent, including without limitation, any communications, notes, or records.
- 11. All Documents and Things concerning or related to the public use, display, or disclosure of any of the inventions claimed in the Orr Patents and/or any Related Patent, including without limitation, any communications, notes, or records.
- 12. All Documents and Things concerning or related to the scope, validity, infringement, enforceability, and/or construction of claim terms used in the Orr Patents or any Related Patent or application, including any opinions of counsel, claim construction analysis, briefs or decisions, any infringement, validity or enforceability contentions, claims or allegations, and all documents that have been asserted by any person or entity as constituting Prior Art to any of the claims of any of those patents or patent applications.

- 13. All Documents and Things concerning or related to the scope, validity, infringement, enforceability, and/or construction of claim terms used in the Fleming Patents or any Related Patent or application, including any opinions of counsel, claim construction analysis, briefs or decisions, any infringement, validity or enforceability contentions, claims or allegations, and all documents that have been asserted by any person or entity as constituting Prior Art to any of the claims of any of those patents or patent applications.
- 14. All Documents and Things concerning or related to representations made by or to You, the named inventors of the Orr Patents, Escort, or any third parties regarding the scope, validity, enforceability or infringement of the Orr Patents or any Related Patent or application.
- 15. All Documents and Things concerning or related to Prior Art or patent searches or analyses conducted in connection with the prosecution of the Orr Patents and/or any Related Patent.
- 16. All Documents and Things concerning or related to the decision to disclose, or not disclose, or how to identify, describe, or characterize, any patents, Products, Software, Prior Art, or other references to the United States Patent and Trademark Office during prosecution of the Orr Patents and/or any Related Patent or application.
- 17. All Documents and Things concerning or related to the identification, selection, or determination of the proper named inventors for the Orr Patents or any Related Patent and applications.
- 18. All Documents and Things concerning or related to the best mode of practicing the subject matter of any claim of the Orr Patents contemplated by the named inventors at the time of filing any applications that led to or resulted in any Related Patent or application.

- 19. All Documents and Things concerning or related to each mode, feature, aspect, or alternative design of practicing the subject matter of any claim of the Orr Patents and/or any Related Patent, including those not disclosed in the specifications of the Orr Patents and/or any Related Patent, but which allegedly embody, fall within the scope of, or are made or practiced in accordance with any claim of the Orr Patents and/or any Related Patent.
- 20. Any and all communications between You and Escort or between You and Escort's attorney(s), including but not limited to communications relating to the Asserted Patents and/or any Related Patent, the alleged inventions disclosed or claimed therein, Uniden, the Accused Products, and/or this Litigation.
- Asserted Patents or between You and the named inventors' attorney(s), including but not limited to communications relating to the Asserted Patents and/or any Related Patent, the alleged inventions disclosed or claimed therein, Uniden, the Accused Products, and/or this Litigation.
- 22. All Documents and Things concerning or related to Your knowledge of any inventor's obligation to assign rights of inventions alleged in the Orr Patents and/or any Related Patent to any Entity other than Escort.
- 23. All documents relating to Your file maintenance and document retention policies and practices, including but not limited to any such documents relating to retention, storage, maintenance, organization, filing, or destruction of documents received from or sent to Escort and/or Steven K. Orr.
- 24. All communications between You and any other law firm, patent agent, or patent attorney relating to the Orr Patents and/or any Related Patent or application.

- 25. All communications between You and Tom Coverstone relating to the Fleming Patents, the Orr Patents and/or any Related Patent or application.
- 26. Documents sufficient to identify all of Your patent agents, patent attorneys, consultants, prior art searchers, and others involved in the preparation, filing, or prosecution of the Orr Patents and/or any Related Patent or application.
- 27. Documents sufficient to identify the individuals who were substantively involved in the prosecution of the Orr Patents and/or any Related Patent or application.
- 28. If any communications, Documents or Things responsive to the above Requests were previously in Your possession, custody, or control but no longer are, Documents sufficient to identify the disposition of such Documents or Things, including without limitation Documents sufficient to identify the last Person known to You to have possession, custody, or control of such Documents or Things.
  - 29. Your current resume or curriculum vitae.

# UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

ESCORT INC., an Illinois Corporation, <i>Plaintiff</i> ,	§ § §
v.  UNIDEN AMERICA CORPORATION, a Delaware Corporation, Defendant.	\$

#### PROTECTIVE ORDER

#### Proceedings and Information Governed.

1. This Order ("Protective Order") is made under Fed. R. Civ. P. 26(c). It governs any document, information, or other thing furnished by any party to any other party, and it includes any non-party who receives a subpoena in connection with this action. The information protected includes, but is not limited to: answers to interrogatories; answers to requests for admission; responses to requests for production of documents; deposition transcripts and videotapes; deposition exhibits; and other writings or things produced, given or filed in this action that are designated by a party as "Confidential Information," "Restricted Confidential Source Code," or "Confidential Attorney Eyes Only Information" (collectively, "Protected Material") in accordance with the terms of this Protective Order, as well as to any copies, excerpts, abstracts, analyses, summaries, descriptions, or other forms of recorded information containing, reflecting, or disclosing such information.

# Designation and Maintenance of Information.

2. For purposes of this Protective Order, (a) the "Confidential Information" designation means that the document is comprised of trade secrets or commercial information that is not

publicly known and is of technical or commercial advantage to its possessor, in accordance with Fed. R. Civ. P. 26(c)(7), or other information required by law or agreement to be kept confidential; (b) the "Restricted Confidential Source Code" designation means that the document is comprised of information that the producing party deems especially sensitive and includes computer source code, computer instructions, data definitions, and/or live data (that is, data as it exists residing in a database or databases) ("Source Code"); and (c) the "Confidential Attorney Eyes Only Information "designation means that the document is comprised of information that the producing party deems especially sensitive, which may include, but is not limited to, confidential research and development, financial, technical, marketing, any other sensitive trade secret information, or information capable of being utilized for the preparation or prosecution of a patent application dealing with such subject matter. Protected Material does not include, and this Protective Order does not apply to, information that is already in the knowledge or possession of the party to whom disclosure is made unless that party is already bound by agreement not to disclose such information, or information that has been disclosed to the public or third persons in a manner making such information no longer confidential.

3. Documents and things produced during the course of this litigation within the scope of paragraph 2(a) above, may be designated by the producing party as containing Confidential Information by placing on each page and each thing a legend substantially as follows:

# CONFIDENTIAL INFORMATION SUBJECT TO PROTECTIVE ORDER

Documents and things produced during the course of this litigation within the scope of paragraph 2(b) above may be designated by the producing party as containing Restricted Confidential Source Code by placing on each page and each thing a legend substantially as follows:

## RESTRICTED CONFIDENTIAL SOURCE CODE SUBJECT TO PROTECTIVE ORDER

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Documents and things produced during the course of this litigation within the scope of paragraph 2(c) above may be designated by the producing party as containing Confidential Attorney Eyes Only Information by placing on each page and each thing a legend substantially as follows:

# CONFIDENTIAL ATTORNEY EYES ONLY INFORMATION SUBJECT TO PROTECTIVE ORDER

A party may designate information disclosed at a deposition as Confidential Information or Confidential Attorney Eyes Only Information by requesting the reporter to so designate the transcript or any portion of the transcript at the time of the deposition. If no such designation is made at the time of the deposition, any party will have fourteen (14) calendar days after the date of the deposition to designate, in writing to the other parties and to the court reporter, whether the transcript is to be designated as Confidential Information or Confidential Attorneys Eyes Only Information. If no such designation is made at the deposition or within this fourteen (14) calendar day period (during which period, the transcript must be treated as Confidential Attorney Eyes Only Information, unless the disclosing party consents to less confidential treatment of the information), the entire deposition will be considered devoid of Confidential Information or Confidential Attorney Eyes Only Information. Each party and the court reporter must attach a copy of any final and timely written designation notice to the transcript and each copy of the transcript in its possession, custody or control, and the portions designated in such notice must thereafter be treated in accordance with this Protective Order. It is the responsibility of counsel for each party to maintain materials containing Confidential Information or Confidential Attorney Eyes Only Information in a secure manner and appropriately identified so as to allow access to such information only to such persons and under such terms as is permitted under this Protective Order.

During a deposition, when counsel deems that the answer to a question may result in the disclosure of Protected Material of that counsel's client, counsel may request that any persons present who are not authorized pursuant to this Protective Order leave the deposition during the confidential portion of the deposition. The use of a document as an exhibit at a deposition shall not in any way affect its designation as "Confidential Information," "Confidential Attorney Eyes Only Information" or "Restricted Confidential Source Code."

#### Inadvertent Failure to Designate.

4. The inadvertent failure to designate or withhold any information as confidential or privileged will not be deemed to waive a later claim as to its confidential or privileged nature, or to stop the producing party from designating such information as confidential at a later date in writing and with particularity. The information must be treated by the receiving party as confidential from the time the receiving party is notified in writing of the change in the designation. If at the time of such correction, the receiving party has already disclosed the Protected Material in a manner inconsistent with the corrected designation, the receiving party shall comply with the procedures set forth at Section 20.

#### Challenge to Designations.

5. A receiving party may challenge a producing party's designation at any time. Any receiving party disagreeing with a designation may request in writing that the producing party change the designation. The producing party will then have ten (10) business days after receipt of a challenge notice to advise the receiving party whether or not it will change the designation. If the parties are unable to reach agreement after the expiration of this ten (10) business day time-frame, and after the conference required under LR 7.1(a), the receiving party may at any time thereafter seek an order to alter the confidential status of the designated information. Until any

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dispute under this paragraph is ruled upon by the presiding judge, the designation will remain in full force and effect, and the information will continue to be accorded the confidential treatment required by this Protective Order.

# Disclosure and Use of Confidential Information.

- 6. Information designated as Confidential Information, Restricted Confidential Source Code, or Confidential Attorney Eyes Only Information may only be used for purposes of preparation, trial, and appeal of this action. Confidential Information, Restricted Confidential Source Code, or Confidential Attorney Eyes Only Information may not be used under any circumstances for prosecuting any patent application, for patent licensing, or for any other purpose.
- 7. Subject to paragraph 9 below, Confidential Information may be disclosed by the receiving party only to the following individuals, provided that such individuals are informed of the terms of this Protective Order: (a) two (2) employees of the receiving party who are required in good faith to provide assistance in the conduct of this litigation, including any settlement discussions, and who are identified as such in writing to counsel for the designating party in advance of the disclosure; (b) two (2) in-house counsel who are identified by the receiving party; (c) outside counsel for the receiving party; (d) supporting personnel employed by (b) and (c), such as paralegals, legal secretaries, data entry clerks, legal clerks, and private photocopying services; (e) experts or consultants; and (f) any persons requested by counsel to furnish services such as document coding, image scanning, mock trial, jury profiling, translation services, court reporting services, demonstrative exhibit preparation, or the creation of any computer database from documents.
- 8. Subject to paragraph 9 below, Confidential Attorney Eyes Only Information and Restricted Confidential Source Code may be disclosed by the receiving party only to the following

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outside counsel for the receiving party; (b) supporting personnel employed by outside counsel, such as paralegals, legal secretaries, data entry clerks, legal clerks, private photocopying services; (c) experts or consultants; and (d) those individuals designated in paragraph 11(c).

- 9. Further, prior to disclosing Confidential Information, Restricted Confidential Source Code, or Confidential Attorney Eyes Only Information to a receiving party's proposed expert, consultant, or employees, the receiving party must provide to the producing party a signed Confidentiality Agreement in the form attached as Exhibit A, the resume or curriculum vitae of the proposed expert or consultant, and the expert or consultant's business affiliation, engagements, employment, or consulting relating to the industry for the preceding five years, including any past, current, or anticipated employers who are competitors of a Party or who are in the industry. The producing party will thereafter have ten (10) business days from receipt of the Confidentiality Agreement to object to any proposed individual. The objection must be made for good cause and in writing, stating with particularity the reasons for the objection. Failure to object within ten (10) business days constitutes approval. If the parties are unable to resolve any objection, the receiving party may apply to the presiding judge to resolve the matter. There will be no disclosure to any proposed individual during the ten (10) business day objection period, unless that period is waived by the producing party, or if any objection is made, until the parties have resolved the objection or the presiding judge has ruled upon any resultant motion.
- 10. Counsel is responsible for the adherence by third-party vendors to the terms and conditions of this Protective Order. Counsel may fulfill this obligation by obtaining a signed Confidentiality Agreement in the form attached as Exhibit B.

- 11. Confidential Information, Restricted Confidential Source Code, or Confidential Attorney Eyes Only Information may be disclosed to a person who is not already allowed access to such information under this Protective Order if:
- (a) the information was previously received or authored by the person or was authored or received by a director, officer, employee or agent of the company for which the person is testifying as a designee under Fed. R. Civ. P. 30(b)(6);
- (b) the designating party is the person or is a party for whom the person is a director, officer, employee, consultant or agent; or
- (c) counsel for the party designating the material agrees that the material may be disclosed to the person.

In the event of disclosure under this paragraph, only the reporter, the person, his or her counsel, the presiding judge, and persons to whom disclosure may be made and who are bound by this Protective Order, may be present during the disclosure or discussion of Protected Material. Disclosure of material pursuant to this paragraph does not constitute a waiver of the confidential status of the material so disclosed.

#### **Non-Party Information.**

12. The existence of this Protective Order must be disclosed to any person producing documents, tangible things, or testimony in this action who may reasonably be expected to desire confidential treatment for such documents, tangible things or testimony. Any such person may designate documents, tangible things, or testimony confidential pursuant to this Protective Order.

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#### Filing Documents With the Court.

13. If any party wishes to submit Protected Material to the court, the submission must be filed under seal using the ECF sealed filing event. If agreed between the parties, the parties need not seek leave of court to file Protected Material under seal.

14. Producing or receiving Protected Material, or otherwise complying with the terms of this Protective Order, will not (a) operate as an admission by any party that any particular Protected Material contains or reflects trade secrets or any other type of confidential or proprietary information; (b) prejudice the rights of a party to object to the production of information or material that the party does not consider to be within the scope of discovery; (c) prejudice the rights of a party to seek a determination by the presiding judge that particular materials be produced; (d) prejudice the rights of a party to apply to the presiding judge for further protective orders; or (e) prevent the parties from agreeing in writing to alter or waive the provisions or protections provided for in this Protective Order with respect to any particular information or material.

#### Conclusion of Litigation.

15. Within sixty (60) calendar days after final judgment in this action, including the exhaustion of all appeals, or within sixty (60) calendar days after dismissal pursuant to a settlement agreement, each party or other person subject to the terms of this Protective Order is under an obligation to destroy or return to the producing party all materials and documents containing Confidential Information, Restricted Confidential Source Code, or Confidential Attorney Eyes Only Information, and to certify to the producing party that this destruction or return has been done. However, outside counsel for any party is entitled to retain all court papers, trial transcripts, exhibits, and attorney work provided that any such materials are maintained and protected in accordance with the terms of this Protective Order.

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#### Other Proceedings.

16. By entering this Protective Order and limiting the disclosure of information in this case, the presiding judge does not intend to preclude another court from finding that information may be relevant and subject to disclosure in another case. Any person or party subject to this Protective Order who may be subject to a motion to disclose another party's information designated as Protected Material pursuant to this Protective Order must promptly notify that party of the motion so that the party may have an opportunity to appear and be heard on whether that information should be disclosed.

In the event any person or receiving party having possession, custody or control of any material produced in this action and designated Confidential or Confidential Attorney Eyes Only by a disclosing party receives a subpoena or other process or order to produce such information, such subpoenaed person or entity shall notify by mail and email the attorneys of record of the disclosing party claiming such confidential treatment of the document sought by such subpoena or other process or order, shall furnish those attorneys with a copy of said subpoena or other process or order, and shall cooperate with respect to any procedure sought to be pursued by the party whose interest may be affected. The disclosing party asserting the confidential treatment shall have the burden of defending against such subpoena, process or order. The person or party receiving the subpoena or other process or order shall be entitled to comply with it except to the extent the disclosing party asserting the confidential treatment is successful in obtaining an order modifying or quashing it.

#### Remedies.

17. It is Ordered that this Protective Order will be enforced by the sanctions set forth in Fed. R. Civ. P. 37(b) and any other sanctions as may be available to the presiding judge, including

the power to hold parties or other violators of this Protective Order in contempt. All other remedies available to any person injured by a violation of this Protective Order are fully reserved.

18. Any party may petition the presiding judge for good cause shown if the party desires relief from a term or condition of this Protective Order.

## **Source Code Production**

- 19. Source Code may be designated as Restricted Confidential Source Code and shall be subject to all protections applicable to materials designated Confidential Attorney Eyes Only Information in addition to the following protections:
- (a) The parties shall make their Source Code available for inspection on a non-networked standalone computer upon reasonable notice between 9 a.m. and 5 p.m. local time, Monday through Friday (excluding holidays). The non-networked standalone computer shall be password protected and supplied by the producing party. The non-networked standalone computer shall be made available at a location of the producing party's choice within the Northern District of Texas, Dallas Division.
- (b) The persons who will review Source Code on behalf of a receiving party shall be named in writing to the producing party at least seven court days in advance of the first time that such person reviews such Source Code, any expert or consultant proposed to review the source code must have been previously qualified pursuant to paragraph 9 of this Order, and the producing party may object in writing within three court days of such notice.
- (c) The receiving party may request that a reasonable number of additional software tools be installed on the standalone computer to assist the receiving party's review of the Source Code. If the software tools are not free and in the public domain, the receiving party shall procure and supply the software tools to the producing party for installation on the standalone computer. If the

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parties are unable to agree on the additional requested software tools, they may seek an Order from the Court after making a good faith effort to resolve their dispute;

- (d) The receiving party may request paper copies of limited portions of Source Code that are reasonably necessary for the preparation of court filings, pleadings, expert reports, or other papers, or for deposition or trial, but shall not request paper copies for the purposes of reviewing the Source Code other than electronically as set forth in paragraph (a) in the first instance. The receiving party shall be limited to 500 pages of hard (non-electronic) copies of those portions of Source Code that it, in good faith, considers necessary to the preparation of its case. Within five court days of the request for source code printouts, the producing party shall produce the printouts of the requested source code to the receiving party absent an objection by the producing party that the production exceeds the page limits set forth in this order, Notwithstanding the foregoing, the receiving party may not request any hard copy of more than 35 consecutive pages of Source Code absent express permission of the producing party or an order from the Court. A "page" for purposes of this limitation will be defined as an 8.5 x 11" sheet of paper with 12-point font. These page limitations will not preclude any party from seeking additional pages for good cause. Any Source Code printouts shall be marked with a Bates number and designation of "Restricted Confidential Source Code" under this Protective Order; and
- (e) No electronic copies of Source Code shall be made without the agreement of the producing party or further order of the Court. The receiving party shall maintain all paper copies of any printed portions of the Source Code in a secure area. Notwithstanding the foregoing, nothing in this sub-Paragraph shall prevent a party from making five additional hard or electronic copies of Source Code as are (1) necessary for use as exhibits at trial; (2) necessary to prepare court filings, pleadings, or other papers (including a testifying expert's expert report); (3) necessary for

deposition; or (4) otherwise necessary for the preparation of its case. Such papers shall be subject to the requirements of this Protective Order, and all other protections applicable to materials designated Confidential Attorney Eyes Only Information.

# **Inadvertent Production of Protected Material**

20. If a receiving party learns that, by inadvertence or otherwise (including due to an incorrect designation), it has disclosed Protected Material to any person or in any circumstance not authorized under this Protective Order, the receiving party must immediately (a) notify in writing the designating party of the unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Protective Order, and (d) request such person or persons to execute the "Confidentiality Agreement" that is attached hereto as Exhibit A.

#### Inadvertent Production of Privileged or Otherwise Protected Material

Pursuant to Fed. R. Evid. 502(d), the production of a privileged or work-product-protected document, whether inadvertent or otherwise, is not itself a waiver of privilege or protection from discovery in this case or in any other federal or state proceeding. For example, the mere production of privileged or work-product-protected documents in this case as part of a mass production is not itself a waiver in this case or in any other federal or state proceeding. When a producing party gives notice to a receiving party that certain inadvertently produced material is subject to a claim of privilege or other protection, the receiving party must promptly return, sequester, or destroy the specified information and any copies it has except for one copy that may be used to challenge the claim; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the copy to the court under seal for a determination of the claim. The

producing party must preserve the information until the claim is resolved. The receiving party shall not use any material that the producing party asserts is attorney-client privileged or work product protected except to challenge the privilege or protection. In the case of ESI, electronic key word searching to identify privileged documents constitutes reasonable steps to prevent disclosure pursuant to Federal Rule of Evidence 502(b)(2).

#### Privileged and Irrelevant Materials.

22. Nothing in this Protective Order shall be construed as requiring disclosure of privileged materials, materials subject to protection under the work product doctrine, or materials which are otherwise beyond the scope of permissible discovery.

#### Additional Relief.

23. Nothing in this Protective Order shall be construed to prevent a party or nonparty from filing a motion asking the Court to order further provisions regarding confidentiality as may be appropriate.

## No Waiver of Objections to Admissibility.

24. Nothing in this Protective Order shall be construed as a waiver by a party of any objections that might be raised as to the admissibility at trial of any evidentiary materials.

#### Advice to Client.

25 Nothing in this Protective Order shall bar or otherwise restrict counsel from rendering advice to his or her client with respect to this litigation and, in the course thereof, relying in a general way upon his or her examination of Protected Material produced or exchanged in this litigation; provided, however, that in rendering such advice and in otherwise communicating with his or her client, the attorney shall not disclose the contents of the Protected Material.

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### **Continuing Obligations**

26. This Protective Order, and all obligations and duties arising under this Protective Order, shall remain in effect after the final termination of this action, unless otherwise ordered by the Court. The Court retains jurisdiction indefinitely over the parties, and any persons provided access to Protected Material under the terms of this Protective Order, with respect to any dispute over the improper use of such materials and with respect to any orders permitting materials to be filed and maintained under seal. The disclosing parties reserve all rights to apply to the Court at any time, before or after termination of this action, for an order: (i) modifying this Protective Order, (ii) seeking further protection against discovery or use of Protected Material, or other documents or information, or (iii) seeking further production, discovery, disclosure, or use of claimed Protected Material or other documents or information.

Signed July 10, 2018.

David C. Godbey

United States District Judge

Godby

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# UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

ESCORT INC.,	§	
an Illinois Corporation,	§	
Plaintiff,	§	
	§	
v.	§	CIVIL ACTION NO: 3:18-cv-00161-N
	§	
UNIDEN AMERICA CORPORATION,	§	
a Delaware Corporation,	§	
Defendant.	§	

# EXHIBIT A CONFIDENTIALITY AGREEMENT FOR EXPERT, CONSULTANT, OR EMPLOYEES OF ANY PARTY

	I,, declare that:
1.	My address is
	My current employer is
	My current occupation is
2.	I have received a copy of the Protective Order in this action. I have carefully read and
	understand the provisions of the Protective Order.
3.	I agree not to use any Confidential Information, Confidential Attorney Eyes Only
	Information or Restricted Confidential Source Code disclosed to me pursuant to the
	Protective Order except for purposes of the above-captioned litigation and not to disclose
	any of this information to persons other than those specifically authorized by the
	Protective Order, without the express written consent of the party who designated the
	information as confidential or by order of the presiding judge. I also agree to notify any
	stenographic, clerical or technical personnel who are required to assist me of the terms of
	this Protective Order and of its binding effect on them and me.

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- 4. I understand that I am to retain all documents or materials designated as or containing
  Confidential Information, Confidential Attorney Eyes Only Information or Restricted
  Confidential Source Code in a secure manner, and that all such documents and materials
  are to remain in my personal custody until the completion of my assigned duties in this
  matter, whereupon all such documents and materials, including all copies thereof, and
  any writings prepared by me containing any Confidential Information, Confidential
  Attorney Eyes Only Information or Restricted Confidential Source Code are to be
  returned to counsel who provided me with such documents and materials.
- 5. Promptly upon termination of this action, I will return all documents and things designated as Confidential," Confidential Attorney Eyes Only," or "Restricted Confidential Source Code" that came into my possession, and all documents and things that I have prepared relating thereto, to the outside counsel for the party by whom I am employed.
- I hereby submit to the jurisdiction of this Court for the purpose of enforcement of the Protective Order in this action.

I declare under pen	alty of perjury that the f	foregoing is true and correc	t.
Signature			
Date			

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# UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

ESCORT INC.,	§	
an Illinois Corporation,	§	
Plaintiff,	§	
	§	
v.	§	CIVIL ACTION NO: 3:18-cv-00161-N
	§	
UNIDEN AMERICA CORPORATION,	§	
a Delaware Corporation,	§	
Defendant.	§	

# EXHIBIT B CONFIDENTIALITY AGREEMENT FOR THIRD-PARTY VENDORS

	I,, declare that:
7.	My address is
	My current employer is
	My current occupation is
8.	I have received a copy of the Protective Order in this action. I have carefully read and
	understand the provisions of the Protective Order.
9.	I agree not to use any Confidential Information, Confidential Attorney Eyes Only
	Information or Restricted Confidential Source Code disclosed to me pursuant to the
	Protective Order except for purposes of the above-captioned litigation and not to disclose
	any of this information to persons other than those specifically authorized by the
	Protective Order, without the express written consent of the party who designated the
	information as confidential or by order of the presiding judge.
10.	Promptly upon termination of this action, I will return all documents and things
	designated as Confidential," Confidential Attorney Eyes Only," or "Restricted
	Confidential Source Code" that came into my possession, and all documents and things

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that I have prepared relating thereto, to the outside counsel for the party by whom I am employed.

I hereby submit to the jurisdiction of this Court for the purpose of enforcement of the
 Protective Order in this action.

I declare under penalty of perjury that the foregoing is true and correct.

Signature	 	 
Date		



August 15, 2019

Thomas W. Humphrey c/o Wood Herron and Evans LLP 2700 Carew Tower 441 Vine Street Cincinnati, OH 45202 Fish & Richardson P.C. 1717 Main Street Suite 5000 Dallas, TX 75201 214 747 5070 main 214 747 2091 fax

David B. Conrad Principal Conrad@fr.com +1 214 760 6158 direct

Re: Escort Inc. v. Uniden America Corporation
USDC – N.D. Tex. – Case No. 3:18-cv-161-N

Dear Mr. Humphrey:

I am writing to you on behalf of my client, Uniden America Corporation ("Uniden"), the defendant in the above-referenced litigation ("Escort Case"). Uniden believes that you may have documents and information relevant to the Escort Case and thus has issued subpoenas for your deposition on October 30, 2019, and requiring the production of documents on September 10, 2019. The specific times and locations for the deposition and the production of documents are set forth in the accompanying subpoenas.

I encourage you to contact me directly with any questions you may have regarding the subpoenas. Moreover, please let me know if it would be more convenient for you to send us the requested documents electronically. If so, we can provide you with a link to securely upload documents. I look forward to hearing from you soon.

Very truly yours,

David B. Conrad Principal

DBC/crh